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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet	1	of	2
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**Complete if Known**

Application Number	10/691,989
Filing Date	October 23, 2003
First Named Inventor	Ramiro Arrez
Art Unit	3652
Examiner Name	J. Keenan
Attorney Docket Number	0343-0035

## U. S. PATENT DOCUMENTS

<b>U. S. PATENT DOCUMENTS</b>					
<b>Examiner Initials*</b>	<b>Cite No.<sup>1</sup></b>	<b>Document Number</b>	<b>Publication Date MM-DD-YYYY</b>	<b>Name of Patentee or Applicant of Cited Document</b>	<b>Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear</b>
		<b>Number-Kind Code<sup>2</sup> (if known)</b>			
JK	1	US-4,773,812	9/27/1988	Bayne et al.	
	2	US-5,308,211	5/3/1994	Bayne	
	3	US-5,333,984	8/2/1994	Bayne et al.	
	4	US-5,447,405	9/5/1995	Bayne et al.	
	5	US-5,503,512	4/2/1996	Bayne	
	6	US-5,613,823	3/25/1997	Bayne	
	7	US-5,762,462	6/9/1998	Bayne	
	8	US-5,784,947	7/28/1998	Bayne et al.	
	9	US-6,167,795	1/2/2001	Bayne et al.	
	10	US-6,325,587	12/4/2001	Wysocki et al.	
	11	US-6,357,988	3/19/2002	Bayne	
	12	US-4,566,840	1/28/1986	Smith	
	13	US-4,708,570	11/24/1987	Smith et al.	
	14	US-5,209,537	5/11/1993	Smith et al.	
	15	US-5,482,180	1/9/1996	Smith et al.	
	16	US-5,484,245	1/16/1996	Zopf	
	17	US-5,577,877	11/26/1996	Smith et al.	
	18	US-5,607,277	3/4/1997	Zopf	
✓	19	US-5,759,008	6/2/1998	Smith et al.	

## FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner  
Signature**

J. Keenan

Date Considered

10/28/05

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

This collection of Information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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Sheet	2	of	2
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First Named Inventor	Ramiro Arrez
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Attorney Docket Number	0343-0035

## U. S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

[illegible]

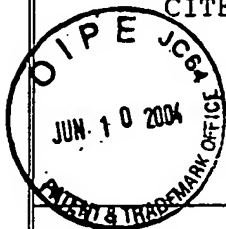
Examiner Signature	J. Keenan	Date Considered	10/28/05
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LIST OF PUBLICATIONS  
CITED BY APPLICANT



Attorney Docket  
0343-0035

Serial No.  
10/691,989

Applicant:  
Ramiro Arrez et al.

Title: RECEPTACLE LIFTER  
WITH RETRACTABLE GRIPPER  
ARMS

Filing Date:  
October 10, 2003

Group:  
3652

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
JK ↓ ✓	1,475,483	11-27-23	J. Galuska —			
	1,763,499	06-10-30	R. S. Bolger —			
	3,040,919	06-26-62	J. R. Nolan —			
	3,136,436	06-09-64	Erlinder et al. —			
	3,170,580	02-23-65	Victor G. Soyko —			
	3,270,902	09-06-66	E. R. Breault —			
	3,279,633	10-18-66	M. J. Evers —			
	3,327,876	06-27-67	B. M. Kolling —			
	3,516,562	06-23-70	J.W. Knight —			
	3,576,215	04-27-71	T. Cline —			
	3,576,265	04-27-71	de Cordova et al. —			
	3,730,365	05-01-73	Herpich —			
	3,762,586	10-02-73	Updike, Jr. —			
	3,773,197	11-20-73	Blakeley et al. —			
	3,822,802	07-09-74	Evans, Jr. —			
	3,837,512	09-24-74	Brown —			
	3,841,508	10-15-74	Ebeling et al. —			
	3,844,434	10-29-74	Blakeley et al. —			
	3,861,547	01-21-75	Sink, Sr. —			
	3,901,255	08-26-75	Pettit —			
	3,944,092	03-16-76	Ebeling et al. —			
	3,954,194	05-04-76	Stedman —			
	3,964,624	06-22-76	Werder —			
	3,978,999	09-07-76	Ryder —			

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE

OTHER PUBLICATIONS

(Including Author, Title, Date, Pertinent Pages)

Not Yet Assigned	Examiner: J. Keenan	DATE CONSIDERED: 10/28/05
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LIST OF PUBLICATIONS CITED BY APPLICANT	Attorney Docket 0343-0035	Serial No. 10/691,989
	Applicant: Ramiro Arrez et al.	Title: RECEPTACLE LIFTER WITH RETRACTABLE GRIPPER ARMS
	Filing Date: October 10, 2003	Group: 3652

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
JK ↓ ✓	4,057,156	11-08-77	Thompson et al. —			
	4,090,626	05-23-78	Ebeling et al. —			
	4,091,944	05-30-78	Gollnick —			
	4,096,959	06-27-78	Schaffer —			
	4,113,125	09-12-78	Schiller —			
	4,175,903	11-27-79	Carson —			
	4,219,298	08-26-80	Stragier et al. —			
	4,227,849	10-14-80	Worthington —			
	4,230,359	10-28-80	Smith —			
	4,237,857	12-09-80	Sharp, Sr. —			
	4,295,776	10-20-81	Payne et al. —			
	4,313,707	02-02-82	Bingman et al. —			
	4,345,868	08-24-82	Rizzo et al. —			
	4,348,147	09-07-82	Helm —			
	4,363,588	12-14-82	Stickney —			
	4,372,726	02-08-83	Lutz —			
	4,401,407	08-30-83	Breckenridge —			
	4,427,333	01-24-84	Ebeling —			
	4,435,117	03-06-84	House —			
	4,450,828	05-29-84	Oaken et al. —			

FOREIGN PATENT DOCUMENTS

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OTHER PUBLICATIONS

(Including Author, Title, Date, Pertinent Pages)

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	Applicant: Ramiro Arrez et al.	Title: RECEPTACLE LIFTER WITH RETRACTABLE GRIPPER ARMS
	Filing Date: October 10, 2003	Group: 3652

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
JK ↓	4,461,607	07-24-84	Smith —			
	4,461,608	07-24-84	Boda —			
	4,527,939	07-09-85	Suarez —			
	4,538,951	09-03-85	Yeazel et al. —			
	4,543,028	09-24-85	Bell et al. —			
	4,548,542	10-22-85	Reese —			
	4,557,658	12-10-85	Lutz —			
	4,575,300	03-11-86	George —			
	4,597,710	07-01-86	Kovats —			
	4,597,712	07-01-86	Smith —			
	4,669,940	06-02-87	Engelhardt et al. —			
	4,699,557	10-03-87	Barnes —			
	4,715,767	12-29-87	Edelhoff et al. —			
	4,722,658	02-02-88	Wurtz et al. —			
	4,726,726	02-23-88	Dossena et al. —			
	4,844,682	07-04-89	Edelhoff —			
	4,872,801	10-10-89	Yeazel et al. —			
	4,909,564	03-20-90	Pfeifer et al. —			

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OTHER PUBLICATIONS

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LIST OF PUBLICATIONS CITED BY APPLICANT	<u>Attorney Docket</u> 0343-0035	<u>Serial No.</u> 10/691,989
	<u>Applicant:</u> Ramiro Arrez et al.	<u>Title:</u> RECEPTACLE LIFTER WITH RETRACTABLE GRIPPER ARMS
	<u>Filing Date:</u> October 10, 2003	<u>Group:</u> 3652

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
JK ↓	4,936,732	06-26-90	Naab et al. —			
	4,966,514	10-30-90	Knapp —			
	4,983,092	01-08-91	Richards —			
	4,992,018	02-12-91	Prout et al. —			
	5,002,450	03-26-91	Naab —			
	5,007,786	04-16-91	Bingman —			
	5,015,142	05-14-91	Carson —			
	5,015,143	05-14-91	Carson —			
	5,018,929	05-28-91	Carson —			
	5,026,104	06-25-91	Pickrell —			
	5,028,196	07-02-91	Richards —			
	5,035,563	07-30-91	Mezey —			
	5,049,026	09-17-91	Bingman et al. —			
	5,056,979	10-15-91	Niederer —			
	5,071,303	12-10-91	Carson —			
	5,071,307	12-19-91	Carson —			
	5,092,731	03-03-92	Jones et al. —			
	5,098,250	03-24-92	Carson —			
	5,114,304	05-19-92	Edelhoff et al. —			
	5,163,805	11-17-92	Mezey —			

FOREIGN PATENT DOCUMENTS

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			<u>Applicant:</u> Ramiro Arrez et al.		<u>Title:</u> RECEPTACLE LIFTER WITH RETRACTABLE GRIPPER ARMS	
			<u>Filing Date:</u> October 10, 2003		<u>Group:</u> 3652	
U.S. PATENT DOCUMENTS						
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	5,205,698	04-27-93	Mezey —			
	5,230,393	07-27-93	Mezey —			
	5,266,000	11-30-93	LeBlanc —			
	5,303,841	04-19-94	Mezey —			
	5,333,984	08-02-94	Bayne et al. —			
	5,344,272	09-06-94	Nuyts —			
	5,360,310	11-01-94	Jones et al. —			
	5,391,039	02-21-95	Holtom —			
	5,466,110	11-14-95	Redding —			
	5,470,187	11-28-95	Smith et al. —			
	5,505,576	04-09-96	Sizemore et al. —			
	5,513,942	05-07-96	Pickrell —			
	5,551,824	09-03-96	Zanzig et al. —			
	5,562,386	10-08-96	Browning —			
	5,601,392	02-11-77	Smith et al. —			
	5,639,201	06-17-97	Curotto —			
	5,720,589	02-24-98	Christenson et al. —			
	5,755,547	05-26-98	Flerchinger et al. —			
5,769,592	06-23-98	Christenson —				
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	Filing Date: October 10, 2003	Group: 3652

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
OK ↓	5,775,867	07-07-98	Christenson —			
	5,791,861	08-11-98	Seelig —			
	5,797,715	09-29-98	Christenson —			
	5,807,056	09-15-98	Osborn et al. —			
	5,813,824	09-29-98	Zanzig et al. —			
	5,829,944	11-03-98	Szinte —			
	5,833,428	11-10-98	Szinte —			
	5,853,277	12-29-98	Everhart —			
	5,890,865	04-06-99	Smith et al. —			
	6,059,511	04-06-99	Anderson et al. —			
	6,095,744	08-01-00	Harrison —			
	6,139,244	10-31-00	VanRaden —			
	6,158,945	12-12-00	Anderson —			
	6,183,185	02-06-01	Zanzig et al. —			
	6,293,863	09-25-01	Carr et al. —			
	6,413,031	07-02-01	Yakley et al. —			
	6,439,667	08-27-02	Weets et al. —			
	6,325,587	12-04-01	Wysocki et al. —			
	6,551,046	04-22-03	Dorrington —			
	6,503,045	01-27-03	Arrez et al. —			
	20020119034	08-29-02	Arrez —			
	20020141854	10-03-02	Arrez —			
	20020141855	10-03-02	Arrez —			
	20030099529	05-29-03	Arrez —			

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		<u>Filing Date:</u> October 10, 2003		<u>Group:</u> 3652		
U.S. PATENT DOCUMENTS						
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
JK ↓	3,211,312	10-12-65	J. P. Miller			
	3,415,169	12-10-68	M. Naddell			
	3,147,870	09-08-64	G.E. Urban et al.			
	2,824,658	02-25-58	W. A. Beasley			
	2,480,959	09-06-49	H.E. Presnell			
	3,662,910	05-16-72	Herpich et al.			
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	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
JK ↓	WO91/05721	05-02-91	John Stephen			
	WO92/01612	02-06-92	Stephen Holtom			
	WO93/25457	12-23-93	David Borwning			
	WO94/21540	09-29-94	Jerald Zanzig			
	EP0 078 011	04-05-83	Friedrich Kampwerth			
	EP0 312 900	04-26-89	Bergomi S.p.A.			
	EP0 405 428	01-02-91	Georg, Edgar			
	DE1 226 035	09-29-66	W. Abitz et al.			
	SU1 253 892		(In Russian)			
	DE2 051 270	10-19-70	Jackisch, W.			
	BE 510235	04-15-52	R. Rougement			
	DN 82300	06-28-54	Tomrer Svend et al			
	2 080 757 A	02-10-82	V.I.B. S.r.l.			
	SU 751794		(In Russian)			
	WO 91/00231	01-10-91	Georg, Edgar			
DE1 531 763	01-15-70	Holmstrom, Karl Josef				
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Artifact? ✓ ✓ 	(1) Perkins Mfg. Brochure: "The Original Rotary Tuckaway," Model D6080-20K, ... (2 pages) (2) Zöller-Kipper GMBH Brochure "Zöller System," (11 pages) (3) Bayne Brochure Thinline® Grabber Lifter, Model GTLS 1110, undated, (2 pages)					
EXAMINER: <u>Not Yet Assigned</u> <i>J. Keenan</i>			DATE CONSIDERED: <i>10/28/05</i>			
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	<u>Applicant</u> Ramiro Arrez	<u>Examiner Name</u> Not Yet Assigned
	<u>Filing Date</u> 10/23/2003	<u>Group</u> 3652

### U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Sub-Class	Filing Date
JK	3,032,216	05/01/1962	McCarthy			
	3,327,876	06/27/1967	Kolling			
	3,732,997	05/15/1973	Reavis et al.			
	3,738,516	06/12/1973	Wells			
	3,747,785	07/24/1973	Dahlin			
	3,804,277	04/16/1974	Brown et al.			
	3,823,973	07/16/1974	Ramer			
	3,884,376	05/20/1975	Rivers			
	3,894,642	07/15/1975	Shive			
	3,931,901	01/13/1976	Jones			
	4,042,137	08/16/1977	Hughes et al.			
	4,365,922	12/28/1982	Borders			
	4,422,814	12/27/1983	Borders			
	4,479,751	10/30/1984	Wyman et al.			
	4,613,271	09/23/1986	Naab			
	4,673,327	06/16/1987	Knapp			
	4,687,405	08/18/1987	Olney			
	4,741,658	05/03/1988	Zelinka et al.			
	4,911,600	03/27/1990	Zelinka et al.			
	5,024,573	06/18/1991	Redding et al.			
	5,026,241	06/25/1991	Wyman			
	5,069,593	12/03/1991	Zelinka et al.			
	5,257,877	11/02/1993	Zelinka et al.			
	5,720,588	02/24/1998	Graves			
	3,730,365	05/01/1973	Herpich et al.			

Examiner: J. Keenan

Date Considered: 10/28/05

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LIST OF PUBLICATIONS CITED BY THE APPLICANT	<u>Attorney Docket No.</u> 0343-0035	<u>Serial No.</u> 10/691,989
	<u>Applicant</u> Ramiro Arrez	<u>Examiner Name</u> Not Yet Assigned
	<u>Filing Date</u> 10/23/2003	<u>Group</u> 3652

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class Sub- Class	Filing Date
✓ <i>DK</i>	AT 243183	02/15/1965	Mullwagen		
✓ <i>Q</i>	CA 622,499	06/20/1961	Dempster Brothers, Inc.		
✓	FR 2 272 002	12/19/1975	CIE Plastic Omnium		
✓	FR 2 459 779	01/16/1981	Decauville SA		
✓	FR 2 479 783	10/09/1981	Societe D'Equipments		
✓	FR 2 500 425	08/27/1982	Chaudronnerie Tolerie		
✓	DE 811 456	08/20/1951	Hans Zoller		
✓	DE 844 262	03/21/1952	Daimler-Benz		
✓	DE 1 236 403	03/09/1967	Jakob Naab		
✓	DE 2 146 653	03/29/1967	Sulo Eisenwerk Streuber		
✓	DE 30 24 081	01/08/1981	Manutentions et Trans.		
✓	WO 83/03242	09/29/1983	Wastemovers Pty. Ltd.		
✓	CH 358747	01/15/1962	Hans Zoller		
✓	CH 453203	06/14/1968	Weinmann		
✓ <i>✓</i>	GB 291,709	06/07/1928	Brewer & Son		

Examiner: *J. Keenan*

Date Considered: *10/28/05*

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	<u>Filing Date</u> 10/23/2003	<u>Group</u> 3652

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class Sub- Class	Filing Date
✓ JIK	GB 2 078 196	01/06/1982	Grover Webb & Liversidge		
✓ J	GB 2 188 302	09/30/1987	Boughton		
✓ J	EP 575 867	12/29/1993	Bayne		

Examiner: J. Keenan	Date Considered: 10/28/05
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	<u>Applicant</u> Ramiro Arrez	<u>Examiner Name</u> Not Yet Assigned
	<u>Filing Date</u> 10/23/2003	<u>Group</u> 3652

#### OTHER PUBLICATIONS

Examiner Initial	Include name the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, etc.), date, page(s), volume issue number(s), publisher city and/or country where published
✓ DK	Lifting Mechanism for a Sanitation Vehicle, U.S. patent application Ser. No. 378,823, filed May 12, 1982, now abandoned (bearing production Nos. B984-1005), with photographs (dated Dec., 1981) (bearing production Nos. B1082-1097), photographs of a present version of the above cited reference (bearing production Nos. B1098-1110A), and other related documents (bearing production Nos. 935-937, 939, 941-947, 948-977, 979, 981, 983, 1006-1025, and 1111-1125).
✓	Refuse Removal Systems, Inc., "Waste Wheeler," Waste Age, 1982 (with photographs of the device bearing production Nos. B913-922).
✓	Rubbermaid "Mobile Toter."
✓	McKagen, World Wastes, "Supercan! Can...And Does," Jun. 1983, pp. 24-26.
✓	Waste Age, Mar. 1982, pp. 31-33.
✓	Zarn Model "D" sales brochure, printed prior to March 20, 2002.
✓	Taskmaster Lifter features/specifications from: <a href="http://www.baynethinline.com/products-taskmaster.htm">http://www.baynethinline.com/products-taskmaster.htm</a>

Examiner: J. Keenan	Date Considered: 10/28/05
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✓ JK	Arrez et al., U.S. Patent Application Serial No. 10/767,041, filed January 29, 2004, entitled "Heavy Duty Cart Lifter."
✓ JK	U.S. Patent Application Serial No. 10/718,336 filed November 20, 2003, entitled "Front Mounted Lifter for Front Load Vehicle."

Examiner: J. Keenan.	Date Considered: 10/28/05
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